

BEFORE YOU INVEST, ASK CHECK.



Easy Steps To Help Others Avoid Investment Fraud

Protecting friends, family and yourself from investment fraud doesn't need to be intimidating. In fact, we have a few easy activities you can do in under an hour to help a neighbor or family member outsmart investment fraud!

Hand out *Fighting Fraud 101* brochures.

- Visit www.SaveAndInvest.org or call 866-973-4672 to order a supply of *Fighting Fraud 101* brochures.
- Ask for permission to hand them out or put them in community locations, such as churches, social centers and libraries.

Order the free *Trick\$ of the Trade: Outsmarting Investment Fraud* DVD.

- Visit www.SaveAndInvest.org/TricksoftheTrade or call 866-973-4672 to order a free, hour-long documentary that uncovers the persuasion tactics con artists use to defraud victims and offers basic steps to help defend against fraud.
- Order an extra copy for a friend and encourage others to order the DVD.

Help others recognize the red flags of fraud.

- Help others recognize behaviors that put them at risk of investment fraud and the red flags that indicate a scam.
 - Encourage them to visit www.SaveAndInvest.org, a free online resource developed by the FINRA Investor Education Foundation, to check out the Risk Meter or Scam Meter.
- Check to see if a financial professional is registered to sell investments.
 - Learn more using FINRA's BrokerCheck.
 - Ask your friend for the seller's name and his/her company (if possible).
 - Log on to www.SaveAndInvest.org/CheckSeller and follow the steps.
 - Call 888-295-7422 if you have questions or to search by phone.
- Check to see if an investment product is registered.
 - Learn more using the Securities and Exchange Commission's EDGAR database.
 - Log on to www.SaveAndInvest.org/CheckInvestment and follow the steps.
 - Call 888-SEC-0330 if you have questions.

Contact a securities regulator.

- Get information about an investment seller or file a complaint against one if you believe they've engaged in fraudulent practices.
- Find your state securities regulator at www.nasaa.org or call 202-737-0900.
- Learn about the options for resolving securities-related disputes at www.finra.org/optionsforinvestors.

Help others reduce exposure to sales pitches.

- Encourage your friends to sign up for the Do Not Call list by visiting www.DoNotCall.gov or calling 888-382-1222.
- Help a friend take their name and address off of direct mail marketing lists by visiting www.DMAChoice.org.

Stay informed.

- Sign up for FINRA Foundation fraud prevention newsletters and alerts by visiting www.SaveAndInvest.org/Subscribe.
- Follow the FINRA Foundation and share updates on Facebook or Twitter.