



About FINRA and the FINRA Investor Education Foundation

The **Financial Industry Regulatory Authority (FINRA)** is an independent, non-governmental regulator for all securities firms doing business with the public in the United States. FINRA works to protect investors and maintain market integrity in a public-private partnership with the Securities and Exchange Commission (SEC), while also benefiting from the SEC's oversight.

Through the **FINRA Investor Education Foundation**, we provide investors with high-quality, easily accessible information and tools to better understand the markets and the basic principles of saving and investing. The FINRA Investor Education Foundation's investor protection campaign seeks to protect investors from investment fraud by helping them:

- recognize they are vulnerable to financial fraud;
- identify persuasion techniques; and
- reduce risky behaviors by asking questions and checking information.

Through tools and resources, available at the **SaveAndInvest.org** website, the FINRA Foundation helps you teach consumers to make informed financial decisions—and arms you with the information you need to protect others from investment fraud. To increase your fraud-fighting knowledge, visit www.SaveAndInvest.org/FraudCenter.

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FINRA and FINRA Foundation on the Web

FINRA Investor Education Foundation: www.saveandinvest.org/fraudcenter

Email Newsletter Subscriptions: www.saveandinvest.org/emailsubscriptions/fraud

Fraud Stories: www.saveandinvest.org/fraudcenter/fraudstories

Free Publications Ordering Portal: www.saveandinvest.org/investor/fraudfighters

Trick\$ of the Trade: Outsmarting Investment Fraud Documentary DVD (1 Hour):
www.saveandinvest.org/tricksofthetrade

Financial Industry Regulatory Authority (FINRA): www.finra.org/investors

FINRA BrokerCheck: www.finra.org/brokercheck

FINRA Investor Alerts: www.finra.org/investoralerts

Other Important Fraud-Fighting Websites

Federal Bureau of Investigation: www.fbi.gov/stats-services/publications/securities-fraud

Financial Fraud Enforcement Task Force: www.stopfraud.gov

Financial Fraud Research Center: www.fraudresearchcenter.org

National Association of Attorneys General: www.naaq.org

National Adult Protective Services Association: www.napsa-now.org

National Association of Triads: www.nationaltriad.org

National Center for Victims of Crime: www.ncvc.org

National Center on Elder Abuse: www.ncea.aoa.gov

National White Collar Crime Center: www.nw3c.org

North American Securities Administrators Association: www.nasaa.org

U.S. Commodity Futures Trading Commission: www.cftc.gov

U.S. Department of Justice – Community-Oriented Policing: www.cops.usdoj.gov

U.S. Department of Justice – Office for Victims of Crime: www.ojp.usdoj.gov/ovc

U.S. Federal Trade Commission – Bureau of Consumer Protection: www.ftc.gov/bcp

U.S. Postal Inspection Service: www.postalinspectors.uspis.gov

U.S. Securities and Exchange Commission: www.sec.gov and www.investor.gov